

PERFORMANCE PARTNERSHIP
BLOCK GRANT APPLICATION

THE FY 2006 - 2007

MONTANA STATE PLAN

FOR PROVIDING COMPREHENSIVE

MENTAL HEALTH SERVICES

Montana Department of Public Health and Human Services

Health Resources Division
And
Addictive and Mental Disorders Division

September 1, 2005

**APPLICATION FACE SHEET FOR FY 2006 - 2007
COMMUNITY MENTAL HEALTH SERVICES
BLOCK GRANT APPLICATION**

STATE NAME:

Montana

AGENCY TO RECEIVE THE CMHS BLOCK GRANT:

Department of Public Health and Human Services
Addictive and Mental Disorders Division
555 Fuller Avenue
PO Box 202905
Helena, MT 59620-2905
DUNS #: 051659352

***OFFICIAL IDENTIFIED BY GOVERNOR AS RESPONSIBLE FOR
ADMINISTRATION OF CMHS BLOCK GRANT:***

Joyce DeCunzo, Administrator
Department of Public Health and Human Services
Addictive and Mental Disorders Division
555 Fuller Avenue
PO Box 202905
Helena, MT 59620-2905
Phone: (406) 444-3969
Fax: (406) 444-4435

STATE FISCAL YEAR:

July 1 through June 30

***PERSON TO CONTACT WITH ANY QUESTIONS REGARDING THE
APPLICATION:***

Marcia Armstrong, Planning Officer
Department of Public Health and Human Services
Addictive and Mental Disorders Division
555 Fuller Avenue
PO Box 202905
Helena, MT 59620-2905
Phone: (406) 444-2878
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OFFICE OF THE GOVERNOR
STATE OF MONTANA

BRIAN SCHWEITZER
GOVERNOR



JOHN BOHLINGER
LT. GOVERNOR

March 1, 2005

Ms Lou Ellen Rice
Grants Management Officer
SAMHSA-OPS
1 Choke Cherry
Rm 7-1103
Rockville MD 20850

RE: Community Mental Health Services (CMHS) Block Grant

Dear Ms Rice:

In my capacity as Governor of the State of Montana, I designate Dr. Robert Wynia, Director of the Department of Public Health and Human Services, or, in Dr. Wynia's absence, John Chappuis, Deputy Director, the Single State Agency for Montana, as the official responsible for the CMHS Block Grant Application. They have my authority to sign the application, assurances, certificates and all other standard forms required for the submission and administration of the project for the State of Montana, Department of Public Health and Human Services.

Sincerely,

A handwritten signature in cursive script, appearing to read "Brian Schweitzer", with a stylized flourish at the end.

BRIAN SCHWEITZER
Governor

Attachment A

**COMMUNITY MENTAL HEALTH SERVICES BLOCK GRANT FUNDING
AGREEMENTS**

FISCAL YEAR 2006

I hereby certify that Montana agrees to comply with the following sections of Title V of the Public Health Service Act [42 U.S.C. 300x-1 et seq.]

Section 1911:

Subject to Section 1916, the State²¹ will expend the grant only for the purpose of:

- i. Carrying out the plan under Section 1912(a) [State Plan for Comprehensive Community Mental Health Services] by the State for the fiscal year involved;
- ii. Evaluating programs and services carried out under the plan; and
- iii. Planning, administration, and educational activities related to providing services under the plan.

Section 1912

(c)(1)&(2) [As a funding agreement for a grant under Section 1911 of this title] The Secretary establishes and disseminates definitions for the terms "adults with a serious mental illness" and "children with a severe emotional disturbance" and the States will utilize such methods [standardized methods, established by the Secretary] in making estimates [of the incidence and prevalence in the State of serious mental illness among adults and serious emotional disturbance among children].

Section 1913:

(a)(1)(C) In the case for a grant for fiscal year 2006, the State will expend for such system [of integrated services described in section 1912(b)(3)] not less than an amount equal to the amount expended by the State for the fiscal year 1994.

[A system of integrated social services, educational services, juvenile services and substance abuse services that, together with health and mental health services, will be provided in order for such children to receive care appropriate for their multiple needs (which includes services provided under the Individuals with Disabilities Education Act)].

(b)(1) The State will provide services under the plan only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs, and mental-health primary consumer-directed programs).

(b)(2) The State agrees that services under the plan will be provided through community mental health centers only if the centers meet the criteria specified in subsection (c).

(C)(1) With respect to mental health services, the centers provide services as follows:

21. The term State shall hereafter be understood to include Territories.

- (A) Services principally to individuals residing in a defined geographic area (referred to as a "service area")
- (B) Outpatient services, including specialized outpatient services for children, the elderly, individuals with a serious mental illness, and residents of the service areas of the centers who have been discharged from inpatient treatment at a mental health facility.
- (C) 24-hour-a-day emergency care services.
- (D) Day treatment or other partial hospitalization services, or psychosocial rehabilitation services.
- (E) Screening for patients being considered for admissions to State mental health facilities to determine the appropriateness of such admission.

(2) The mental health services of the centers are provided, within the limits of the capacities of the centers, to any individual residing or employed in the service area of the center regardless of ability to pay for such services.

(3) The mental health services of the centers are available and accessible promptly, as appropriate and in a manner which preserves human dignity and assures continuity and high quality care.

Section 1914:

The State will establish and maintain a State mental health planning council in accordance with the conditions described in this section.

(b) The duties of the Council are:

- (1) to review plans provided to the Council pursuant to section 1915(a) by the State involved and to submit to the State any recommendations of the Council for modifications to the plans;
- (2) to serve as an advocate for adults with a serious mental illness, children with a severe emotional disturbance, and other individuals with mental illness or emotional problems; and
- (3) to monitor, review, and evaluate, not less than once each year, the allocation and adequacy of mental health services within the State.

(c)(1) A condition under subsection (a) for a Council is that the Council is to be composed of residents of the State, including representatives of:

- (A) the principle State agencies with respect to:
 - (i) mental health, education, vocational rehabilitation, criminal justice, housing, and social services; and
 - (ii) the development of the plan submitted pursuant to Title XIX of the Social Security Act;
- (B) public and private entities concerned with the need, planning, operation, funding, and use of mental health services and related support services;
- (C) adults with serious mental illnesses who are receiving (or have received) mental health services; and
- (D) the families of such adults or families of children with emotional disturbance.

(2) A condition under subsection (a) for a Council is that:

- (A) with respect to the membership of the Council, the ratio of parents of children with a serious emotional disturbance to other members of the Council is sufficient to provide adequate representation of such children in the deliberations of the Council; and
- (B) not less than 50 percent of the members of the Council are individuals who are not State employees or providers of mental health services.

Section 1915:

- (a)(1) State will make available to the State mental health planning council for its review under section 1914 the State plan submitted under section 1912(a) with respect to the grant and the report of the State under section 1942(a) concerning the preceding fiscal year.
- (2) The State will submit to the Secretary any recommendations received by the State from the Council for modifications to the State plan submitted under section 1912(a) (without regard to whether the State has made the recommended modifications) and comments on the State plan implementation report on the preceding fiscal year under section 1942(a).
- (b)(1) The State will maintain State expenditures for community mental health services at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Section 1916:

- (a) The State agrees that it will not expend the grant:
 - (1) to provide inpatient services;
 - (2) to make cash payments to intended recipients of health services;
 - (3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;
 - (4) to satisfy any requirement for the expenditure of non-Federal funds as a condition of the receipt of Federal funds; or
 - (5) to provide financial assistance to any entity other than a public or nonprofit entity.
- (b) The State agrees to expend not more than 5 percent of the grant for administrative expenses with respect to the grant.

Section 1941:

The State will make the plan required in section 1912 as well as the State plan implementation report for the preceding fiscal year required under Section 1942(a) public within the State in such manner as to facilitate comment from any person (including any Federal or other public agency) during the development of the plan (including any revisions) and after the submission of the plan to the Secretary.

Section 1942:

- (a) The State agrees that it will submit to the Secretary a report in such form and containing such information as the Secretary determines (after consultation with the States) to be necessary for securing a record and description of:
 - (1) the purposes for which the grant received by the State for the preceding fiscal year under the program involved were expended and a description of the activities of the State under the program; and
 - (2) the recipients of amounts provided in the grant.

- (b) The State will, with respect to the grant, comply with Chapter 75 of Title 31, United States Code. [Audit Provision]
- (c) The State will:
- (1) make copies of the reports and audits described in this section available for public inspection within the State; and
 - (2) provide copies of the report under subsection (a), upon request, to any interested person (including any public agency).

Section 1943:

- (a) The State will:
- (1)(A) for the fiscal year for which the grant involved is provided, provide for independent peer review to assess the quality, appropriateness, and efficacy of treatment services provided in the State to individuals under the program involved; and
 - (B) ensure that, in the conduct of such peer review, not fewer than 5 percent of the entities providing services in the State under such program are reviewed (which 5 percent is representative of the total population of such entities);
 - (2) permit and cooperate with Federal investigations undertaken in accordance with section 1945 [Failure to Comply with Agreements]; and
 - (3) provide to the Secretary any data required by the Secretary pursuant to section 505 and will cooperate with the Secretary in the development of uniform criteria for the collection of data pursuant to such section
- (b) The State has in effect a system to protect from inappropriate disclosure patient records maintained by the State in connection with an activity funded under the program involved or by any entity, which is receiving amounts from the grant.


Governor Designee


Date

CERTIFICATIONS

1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;
- (b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with sub-grantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free workplace in accordance with 45 CFR Part 76 by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- (d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central

point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted--
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management
Office of Grants Management
Office of the Assistant Secretary for Management and Budget
Department of Health and Human Services
200 Independence Avenue, S.W., Room 517-D
Washington, D.C. 20201

3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the under-

signed, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- (2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE


Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Deputy Director	
APPLICANT ORGANIZATION Department of Public Health & Human Services		DATE SUBMITTED

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

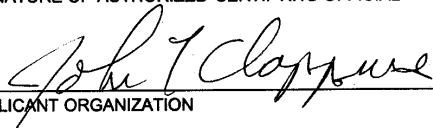
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age;
- (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, re- gulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
		Deputy Director	
APPLICANT ORGANIZATION		DATE SUBMITTED	
Department of Public Health & Human Services			

PUBLIC COMMENTS ON THE STATE PLAN

The State Mental Health Oversight has had an opportunity to review the FY 2006 – 2007 Block Grant application. The Council participated in a work session on the preparation of the block grant application.

The draft block grant application was distributed to other key stakeholders to provide comments on the application. The block grant application was distributed to all the Local Advisory Committees (LAC), Service Area Authorities (SAA) and the Kids Management Authorities (KMA). In addition, the application was made available through the Department's web.

Children

[illegible]

FY95	FY96	FY97	FY98	FY99	FY00	FY01	FY02	FY03	FY04	FY05	FY06
<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Actual</u>	<u>Projected</u>	<u>Budgeted</u>
5,728,574	5,971,000	8,188,931	5,320,889	8,007,191	13,060,861	11,660,507	9,639,196	7,754,331	6,473,097	5,557,485	7,145,526
2,933,096	2,933,100	7,929,633	14,409,375	14,431,129	12,292,411	13,917,074	14,615,563	14,438,355	14,083,325	18,199,782	19,108,911
			3,195,433	3,735,352	4,439,444	5,379,421	6,467,616	7,123,418	7,982,350	10,266,737	11,312,308
-	-	-	-	-	-	-	-	-	-	-	-
<u>367,105</u>	<u>365,971</u>	<u>470,165</u>	<u>551,550</u>	<u>1,661,176</u>	<u>1,463,615</u>	<u>1,844,629</u>	<u>2,012,636</u>	<u>1,532,820</u>	<u>1,466,109</u>	<u>1,836,139</u>	<u>1,721,552</u>
9,028,775	9,270,071	16,588,729	23,477,247	27,834,848	31,256,331	32,801,631	32,735,012	30,848,924	30,004,881	35,860,143	39,288,297
	9,236,499	9,149,423	12,929,400	20,032,988	25,656,047	29,545,589	32,028,981	32,768,322	N/A	N/A	N/A
	100.36%	181.31%	181.58%	138.95%	121.83%	111.02%	102.20%	94.14%			
										32,768,322	32,768,322

By-Laws
of
Mental Health Oversight Advisory Council

Article I: Name

The name of the organization is: Mental Health Oversight Advisory Council.
(hereinafter referred to as the Council)

Article II: Purpose

1. MCA 53-21-701 (6) states the Council is "to provide input to the Department of Public Health and Human Services (hereinafter referred to as the Department) in the development and management of any public mental health system."
2. Federal laws require states to perform mental health planning in order to receive Federal mental health grant funds.
3. Mission: Partners in planning for a recovery-based mental health system throughout Montana.

Article III: Membership

1. Composition

- a. A majority of members shall be consumers of mental health services, including persons with serious mental illnesses who are receiving public mental health services, other recipients of mental health services, former recipients of public mental health services, and immediate family members of persons receiving mental health services.
- b. State agencies represented shall include Department/Medicaid Social Services, Addictive and Mental Disorder Division, Health Resources Division, Education, Criminal Justice/Corrections, Housing and Vocational Rehabilitation. The Council shall also include advocates for consumers, members of the public at large, providers of mental health services, at least one legislator, at least one representative of Native American (American Indian) tribes, and one representative of the Montana Association of Counties (MACo).
- c. Except for state agency representatives, a reasonable balanced geographic representation is required.

2. Terms

Appointments and reappointments, other than representatives of state agencies and MACo, shall be made for a term of four (4) years. Representative of state agencies will serve at the discretion of respective agencies. Appointments for vacancies shall be for the remainder of that term. Members may apply for reappointment.

3. Termination

- a. Members may resign by giving written notice to the Council.
- b. Membership may be terminated at the discretion of the Director of the Department after two (2) successive absences from meetings without reasonable cause.
- c. Membership may be terminated at the discretion of the Director of the Department because of unethical or illegal actions of the member.

Article IV: Officers

1. Officers of the Council shall be the Chair and the Vice-Chair. The ex-officio Secretary will be supplied by the Department.

2. Duties

- a. Responsibilities of the Chair include determining that proper notice with the proposed agenda is given of scheduled meetings, scheduling Executive Committee and Special meeting times, presiding over regular, special and Executive Committee meetings, and signing all letters and papers from the Council, including the block grant. The Chair shall arrange for appointment of standing and special committees other than Executive Committee.
- b. The Vice-Chair will assist the Chair and will assume the responsibilities of the Chair in the absence of that person. If the position of Chair becomes vacant the Vice-Chair will assume all duties of the Chair.
- c. The Secretary will record minutes of the regular, special and Executive Committee meetings and will maintain records of all proceedings. The secretary will be responsible for notices being provided for all meetings as requested by the Chair. The secretary, on behalf of the council, will provide copies of summaries and recommendations as provided in MCA 53-21-702.

3. Terms

Offices of Chair and Vice-Chair terms are for two (2) years. Officers may be re-elected for one additional term. Election of officers will be bi-annually at the summer meeting. In the event of vacancy of the Vice-Chair office, election of a replacement for the remainder of that term will occur at the next meeting of the Council. An office will be considered vacant if the member's term ends prior to office termination date.

Article V: Committees

1. Standing committees will be the Executive Committee, the Development Committee, the Advocacy Committee and the Block Grant Committee.

Duties

- a. The Executive Committee will consist of the Chair, the Vice-Chair, and chairs of the Standing Committees. Chairs of Special Committees may participate in meetings of the Executive Committee when appropriate business is involved. The Executive Committee is responsible to conduct any business that is necessary between meetings of the full Council and will report such business at the next meeting of the Council. The Executive Committee will develop the proposed agenda for the regular and special meetings.
- b. The Development Committee will be the Nominating Committee for election of officers. This committee will maintain awareness of attendance at meetings and note vacancies on the Council with responsibility to seek potential replacements for that same position. Applications for membership will be accepted, evaluated and presented to the Director of the Department. The committee will draft any proposed amendments to the By-Laws for presentation to the Council. The committee is responsible for orientation of new members. The committee will evaluate and make recommendations to the Council regarding the budget.
- c. The Advocacy Committee will prepare recommendations for legislative action as determined and approved by the Council. Committee members will assist the

Department in presentations to the Administration and to candidates and legislators, including testifying before legislative committees. The Committee will work with Kids Management Authorities, Service Area Authorities, Local Area Councils and other mental health organizations to promote the Mission.

- d. The Block Grant Committee will work with the appropriate Department personnel on an on going basis to draft, revise and evaluate block grants.
2. Special committees will be appointed by the Chair to work on particular issues as determined by the Council.

Article VI: Meetings

1. Regular scheduled meetings

There shall be regular meetings on a schedule determined by the Council. Notice of date, time and location with the proposed agenda will be delivered to members at least two weeks prior to each meeting.

2. Special meetings may be called by the Chair after consultation with the Executive Committee. Notice of date, time and location with the stated purpose of the meeting will be delivered to members at least one week prior to the meeting.

3. Quorum

50% of the membership will determine a quorum for a meeting to conduct business requiring a decision.

4. Rules of order

- a. The proposed agenda will be presented at the beginning of each meeting.
- b. Decisions will be attempted by consensus. If consensus is not reached, Roberts Rules of Order, Revised will apply to the conduct of the matter. There will be no votes by proxy.
- c. Minutes shall be kept of all proceedings.
- d. All meetings shall be open to the public.

Article VII: Amendments

These By-Laws may be amended, revised or repealed by the affirmative vote of 2/3 of the Council members present at any meeting of the Council with a quorum present, provided written notice embodying the text of the proposed amendment, revision or repeal is made available to each member of the Council at least seven days prior to the meeting.

Certification: The undersigned Chair and Secretary of the Council certifies that the above and foregoing By-Laws were duly adopted this date by the members of this Mental Health Oversight Advisory Council and they now constitute the By-Laws of the Mental Health Oversight Advisory Council.

Date_____Chair_____

Secretary_____

Mental Health Oversight Advisory Council

Member Directory September, 2005

Diana Auerhammer 836 Holt Drive, Suite 321 Bigfork, MT 59911	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Western KMA	Term Expires: June 30, 2006

Valerie Barstad 31 Firth Place Glasgow, MT 59230	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Eastern KMA	Term Expires: June 30, 2006

Leroy Bingham 735 Cross Street Billings, MT 59105	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Native Americans	Term Expires: June 30, 2008

John Chappuis, Dep Director Dept of Public Health & Human Svcs 111 N Sanders Helena, MT 59604	Ph 1: (406) 444-4084 Ph 2: FAX: (406) 444-1970 Email: jchappuis@mt.gov Email2:
Representing: DPHHS	Term Expires: N/A

Joan Daly Deaconess Billings Clinic PO Box 37000 Billings, MT 59107-7000	Ph 1: (406) 657-3997 Ph 2: FAX: Email: jdaly@billingsclinic.org Email2:
Representing: MH Providers	Term Expires: June 30, 2008

Joyce De Cunzo , Administrator Addictive & Mental Disorders Division 555 Fuller Ave PO Box 202905 Helena, MT 59620-2905	Ph 1: (406) 444-3969 Ph 2: FAX: (406) 444-9389 Email: Email2: jdecunzo@mt.gov
Representing: AMDD	Term Expires: N/A

Leslie Edgcomb, Program Officer Dept of Commerce – Home Program 301 South Park PO Box 200528 Helena, MT 59620-0528	Ph 1: (406) 841-2817 Ph 2: FAX: Email: ledgcomb@mt.gov Email2:
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Jim FitzGerald, Executive Director Intermountain Children's Home 500 S. Lamborn Helena, MT 59601	Ph 1: (406) 457-4794 (work) Ph 2: FAX: Email: jimf@intermountain.org Email2:
Representing: MH Provider, Children	Term Expires: June 30, 2009

Don Harr 3010 Wendimere Lane Billings, MT 59102	Ph 1: Ph 2: FAX: Email: Email2:
Representing: MH Providers	Term Expires: June 30, 2007

Barbara Hogg 1840 Poly Drive Billings, MT 59102-1731	Ph 1: Ph 2: FAX: Email: bhogg@imt.net Email2:
Representing: Families of Youth Consumers	Term Expires: June 30, 2007

Liam Holton 403 N. Taylor Ave Glendive, MT 59330	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Eastern SAA	Term Expires: June 30, 2008

Suzanne Hopkins 311 McKinley #202 Lewistown, MT 59457	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Consumers	Term Expires: June 30, 2007

Bob Jahner Disability Services Division, Vocational Rehabilitation 111 N Sanders, Room 307 Helena, MT 59601	Ph 1: (406) 444-4179 Ph 2: FAX: Email: rjahner@mt.gov Email2:
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Representing: Family of Youth Consumers	Term Expires: June 30, 2009

Kathleen Nelson 23 5 th St NW PO Box 332 Choteau, MT 59422-0332	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Central SAA	Term Expires: June 30, 2008

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Representing: Family Members	Term Expires: June 30, 2008

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Tiffani Pimley 2889 N 27 th Ave # 2 Bozeman, MT 59718	Ph 1: Ph 2: FAX: Email: Email2:
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Frank Podobnik Office of Public Instruction 1300 11 th Ave Helena, MT 59601	Ph 1: (406) 444-0923 Ph 2: FAX: Email: fpodobnik@mt.gov Email2:
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Representing: Family of consumers	Term Expires: June 30, 2009

Mignon Waterman 530 Hazelgreen Ct Helena, MT 59601	Ph 1: (406) 442-8648 Ph 2: FAX: Email: mrwaterman1@juno.com Email2:
Representing: Mental Health Advocates	Term Expires: June 30, 2007

Dan Weinberg 575 Delrey Road Whitefish, MT 59937	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Legislature	Term Expires: June 30, 2006

Vacant , Administrator Health Resources Division 1400 Broadway, Cogswell Blg Rm A203 Helena, MT 59601	Ph 1: Ph 2: FAX: Email: Email2:
Representing: Health Resources Division	Term Expires: N/A

STAFF MEMBERS

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Representing:	Term Expires:

Marcia Armstrong Addictive & Mental Disorders Division PO Box 202905 Helena, MT 59620-2905	Ph 1: (406) 444-2878 Ph 2: FAX: Email: marmstrong@mt.gov Email2:
Representing:	Term Expires:

Carol Davidson Addictive & Mental Disorders Division PO Box 202905 Helena, MT 59620-2905	Ph 1: (406) 444-7209 Ph 2: FAX: Email: cdavidson@mt.gov Email2:
Representing:	Term Expires:

Planning Council Composition by Type of Member

Type of Membership	Number	Percentage of Total Membership
TOTAL MEMBERSHIP	30	
Consumers	7	
Family Members of youth	2	
Family Members of Adults with SDMI	4	
Vacancies (Consumers and Family Members)	0	
Others (not state employees or providers)	6	
TOTAL consumers, family members and others	19	63%
State Employees	6	
Providers	4	
Vacancies	1	
TOTAL state employees and providers	11	37%

MENTAL HEALTH OVERSIGHT ADVISORY COUNCIL

MISSION: PARTNERS IN PLANNING FOR A RECOVERY-BASED MENTAL HEALTH SYSTEM
THROUGHOUT MONTANA

Mignon Waterman,
Chair
PO Box 202905
Helena, MT 59620-2905

Barbara Hogg
Vice-Chair

August 26, 2005

Ms. Lou Ellen Rice
Grants Management Officer
SAMHSA-OPS
1 Choke Cherry
Room 7-1103
Rockville, MD 20850

RE: Montana's Community Mental Health Block Grant FY 2006-2007

Dear Ms. Rice:

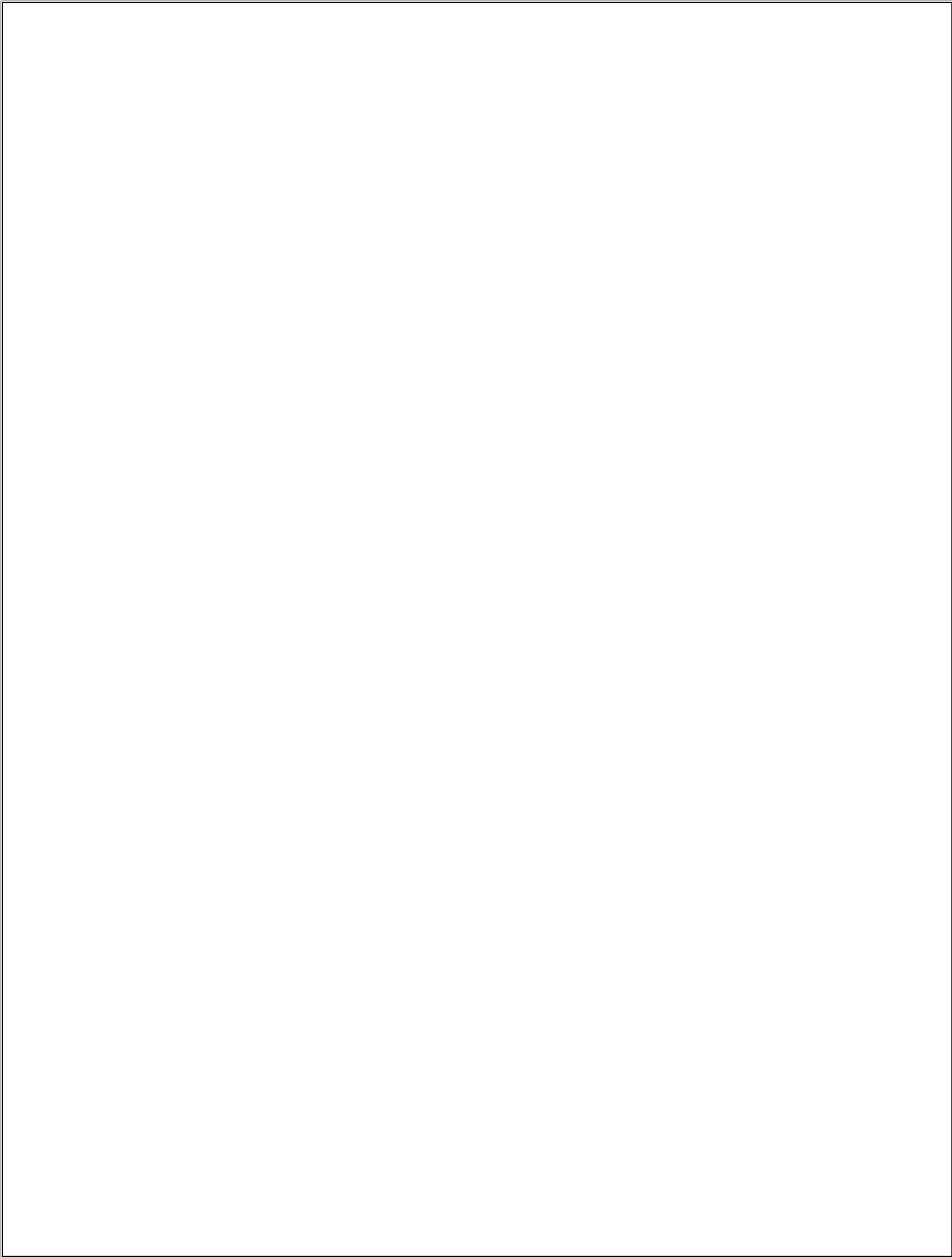
The motto for Governor Schweitzer's administration is "It's a New Day in Montana" and for public mental health consumers and their families we believe it is truly a new day filled with optimism and opportunity.

Until recently the Mental Health Oversight Advisory Council (MHOAC) languished with numerous vacancies and a lack of direction and purpose. Historically, the MHOAC has been a reactive group that listened to reports and made comments. The newly expanded council brings Montanans with outstanding qualifications together and they have set an ambitious agenda for the coming year. The council is eager to address the challenges of creating a mental health system that meets the needs of consumers and their families by delivering appropriate and timely services in or near their community.

Last spring the council participated in technical training and agreed to focus on three areas of need for the coming year:

(A) The establishment of local crisis services to better meet the needs of individuals with mental illness and to reduce reliance of the state mental hospital at Warm Springs,

(B) The development of a bridge program to facilitates the smooth transition of inmates who suffer from a mental illness from the corrections system to community treatment programs.



August 26, 2005

(C) The expansion of peer support and consumer run programs in Montana.

As we worked with the staff of the Addictive and Mental Disorders Division (AMDD) and the Health Resources Division (HRD) to write the Block Grant, we reviewed the data and established ambitious targets that we believe we can achieve. We recognize that employment and housing are integral parts of our mental health system. The council finally has a representative of Vocational Rehabilitation on the council and he will help us expand employment opportunities. We continue to be concerned that we do not serve all of the individuals in need of mental health services and that Montana's eligibility regulations leave gaps in the availability of mental health coverage.

We have reviewed the block grant application and we realize that the funds will be used to fund the Mental Health Service Plan and that none of the funds will be used for the Children's system. Since initiating the Children's System of Care with the local Kids Management Authorities, we have seen a dramatic reduction in the number of youth who are placed out of state in residential treatment. Because of the involvement of parents and families in planning the wrap around services, we are optimistic that families will build a resiliency and support network that will allow for better outcomes.

Although we face many challenges, we are fortunate to have the support of your staff, the budget office and the legislature. Together we know we can create a better mental health system.

Sincerely,



Mignon Waterman
Chair

CC: Governor Schweitzer
Mental Health Oversight Advisory Council Members
Lois Steinbeck, Legislative Analyst
Susan Fox, Legislative Analyst